

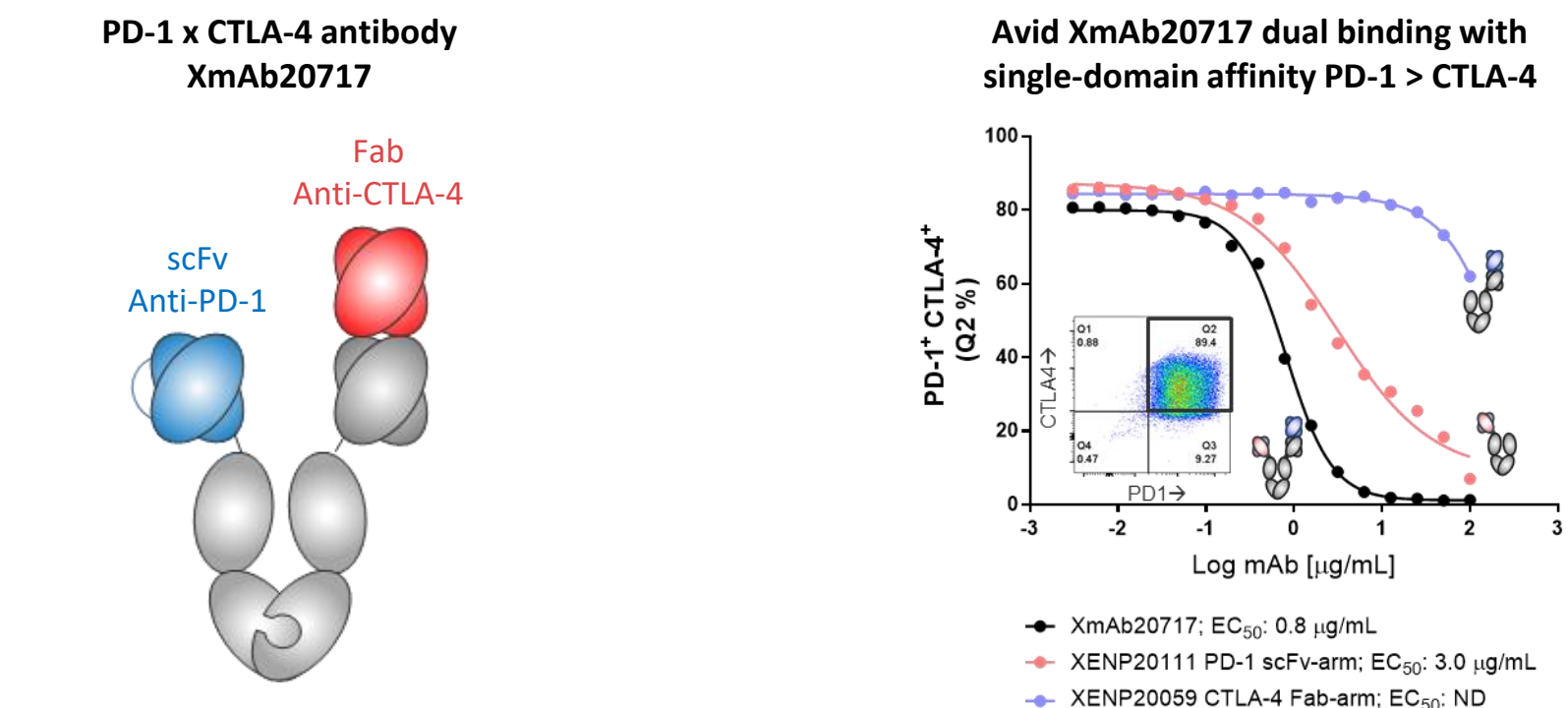
Preliminary Safety, Pharmacokinetics/Pharmacodynamics, and Antitumor Activity of XmAb[®]20717, a PD-1 x CTLA-4 Bispecific Antibody, in Patients With Advanced Solid Tumors

Elaine Shum,¹ Adil Daud,² Matthew J Reilly,³ Yana G Najjar,⁴ John A Thompson,⁵ Joaquina C Baranda,⁶ R Donald Harvey,⁷ Rom S Leidner,⁸ Anthony F Shields,⁹ Ezra EW Cohen,¹⁰ Roger B Cohen,¹¹ Alain Mita,¹² Shubham Pant,¹³ Mark N Stein,¹⁴ Bartosz Chmielowski,¹⁵ Siwen Hu-Lieskovan,¹⁶ Catherine A Fleener,¹⁷ Ying Ding,¹⁷ Sowmya Chollate,¹⁷ Hector Avina,¹⁷ Jolene S Shorr,¹⁷ Raphael Clynes,¹⁷ Barbara Hickingbottom¹⁷

¹New York University; ²University of California, San Francisco; ³University of Virginia; ⁴University of Pittsburgh; ⁵University of Washington; ⁶University of Kansas; ⁷Emory University School of Medicine; ⁸Providence Cancer Institute; ⁹Karmanos Cancer Center; ¹⁰University of California San Diego; ¹¹Perelman School of Medicine at the University of Pennsylvania; ¹²Cedars-Sinai Medical Center; ¹³MD Anderson Cancer Center; ¹⁴Columbia University; ¹⁵UCLA; ¹⁶Huntsman Cancer Institute; ¹⁷Xencor, Inc., Monrovia, California

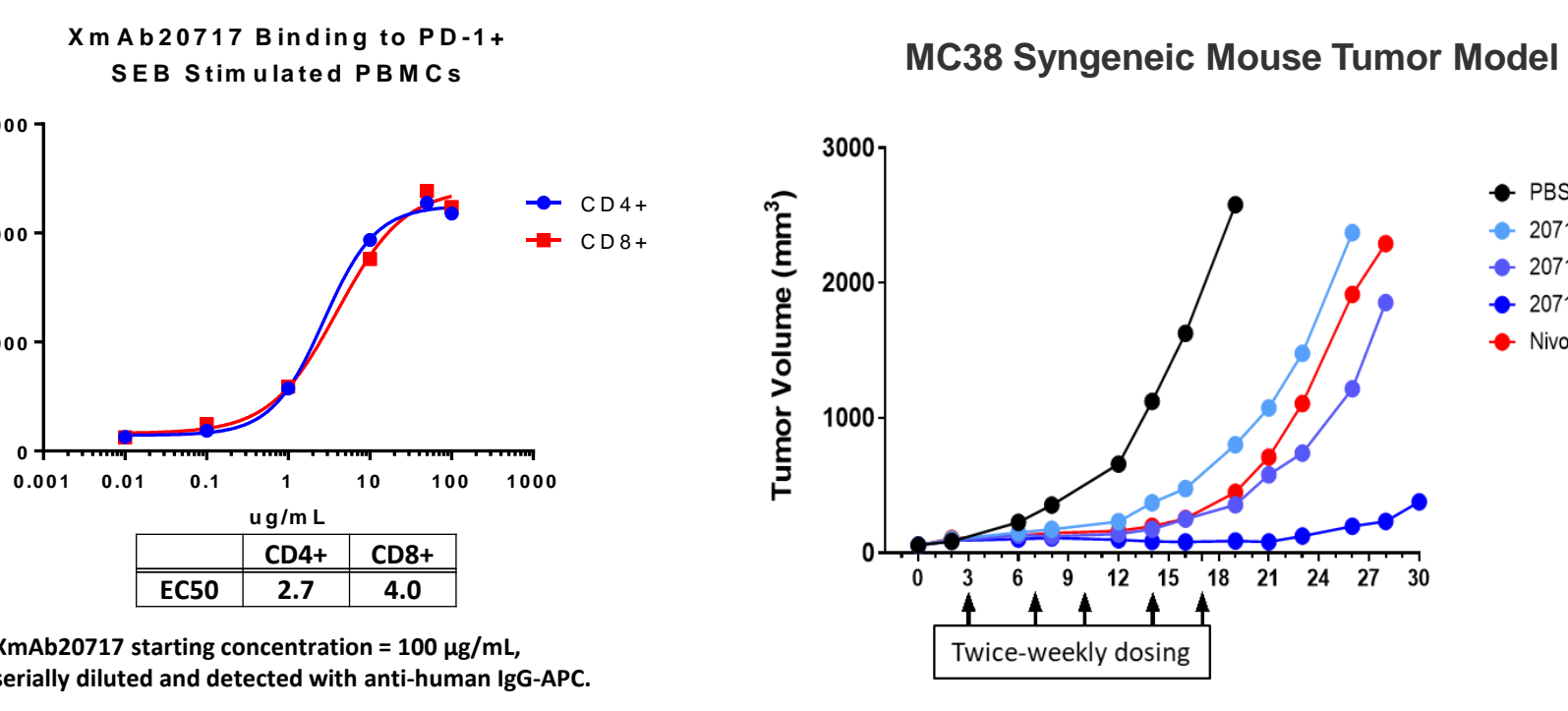
BACKGROUND

XmAb20717 Binds Preferentially to PD-1/CTLA-4 Dual-Positive Cells



XmAb20717 is a heterodimeric antibody with a modified Fc domain that eliminates FcγR interactions and Xtend™ technology promotes longer half-life.

XmAb20717 receptor occupancy (RO) measured on PD-1/CTLA-4 transfected 239T. RO is dominated by the PD-1 arm, but greater avid binding occurs with engagement of both arms.



Binding to SEB-activated human PBMCs demonstrates comparable binding to CD4 and CD8 T cells, EC50 of 2.7 and 4.0 μg/mL, respectively.

XmAb20053, a non-depleting PD-1xCTLA-4 mouse surrogate IgG for XmAb20717, shows dose-dependent TGI with maximum effect at 10 mg/kg in an MC38 mouse syngeneic tumor model. The 10 mg/kg XmAb20053 dose was superior to nivolumab at a clinically relevant therapeutic dose of 3 mg/kg.

- XmAb20717 is a humanized bispecific monoclonal antibody that simultaneously targets PD-1 and CTLA-4.
- DUET-2 (XmAb20717-01) is an ongoing, Phase 1, first-in-human, multicenter study, designed to evaluate the safety, pharmacokinetics (PK), pharmacodynamics, and preliminary clinical activity of XmAb20717.
- It is a 3+3 dose-escalation design, with multicohort, parallel-group expansion at the maximum tolerated dose (MTD) or recommended dose in patients with selected advanced solid tumors.
- We report preliminary data, based on a 30 September 2020 data cut.

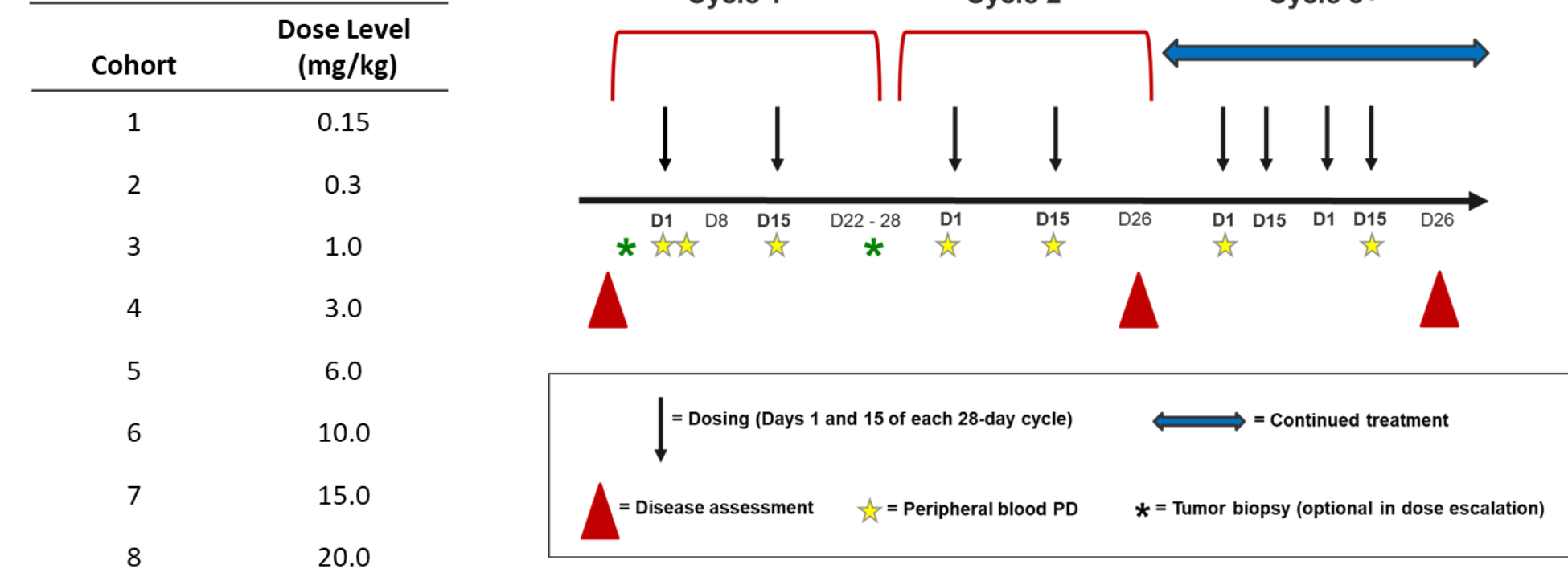
STUDY OBJECTIVES

- Primary
 - To determine the safety and tolerability profile and the MTD or recommended dose of XmAb20717 for further evaluation
- Secondary
 - To characterize the PK and immunogenicity of XmAb20717
 - To assess antitumor activity, based on objective response and best overall response rates (RECIST 1.1), duration of response, and progression-free survival
- Key exploratory
 - To characterize the pharmacodynamics of XmAb20717, based on post-dosing changes in immune activity in peripheral blood and tumor
 - To evaluate the correlation between response to treatment and
 - Tumor mutational burden
 - Gene expression signatures

METHODS

XmAb20717 is administered as a 1-hour intravenous infusion on Days 1 and 15 of each 28-day cycle

XmAb20717 Dose Levels for Escalation Phase



KEY ENTRY CRITERIA

Inclusion	Exclusion
<ul style="list-style-type: none"> Historically or cytologically confirmed eligible solid tumor Dose escalation – tumor types with FDA-approved ICIs or published evidence of ICI antitumor activity Dose expansion – melanoma, RCC, NSCLC, CRPC, and a basket of other solid tumors with published evidence of ICI antitumor activity, but no FDA-approved ICI Cancer has progressed after treatment with all standard of care therapies or for whom no treatment options are available Measurable disease (except CRPC) Evaluable disease per PCWG3 criteria (CRPC) Adequate tumor biopsy tissue ECOG 0 or 1 	<ul style="list-style-type: none"> Ongoing anticancer therapy (luteinizing hormone-releasing hormone analogue therapy permitted for CRPC patients) Anti-CTLA-4 antibodies within 6 weeks or anti-PD-1 or anti-PD-L1/PD-L2 antibodies within 4 weeks prior to initiation of XmAb20717 Grade 4 immune-mediated adverse event related to prior immunotherapy Active known or suspected autoimmune disease* Known active CNS metastases or carcinomatous meningitis Estimated creatinine clearance < 30 mL/minute

*Excludes include vitiligo; type 1 diabetes mellitus or residual hypothyroidism due to autoimmune condition treatable with hormone replacement therapy only; autoimmune skin conditions managed without systemic therapy; arthritis managed without systemic therapy beyond oral acetaminophen and NSAIDs; CRPC = castrate-resistant prostate cancer; ICI = immune checkpoint inhibitor; NSCLC = non-small cell lung cancer; PCWG3 = Prostate Cancer Working Group 3; RCC = renal cell carcinoma

RESULTS

Patient Disposition	Escalation	Melanoma	RCC	NSCLC	CRPC	Basket	10 mg/kg*	Overall
Safety population, n†	34	20	11	20	18	20	96	123
Evaluable population, (%)‡	20 (58.8)	7 (35.0)	4 (36.4)	13 (65.0)	4 (22.2)	10 (50.0)	42 (43.8)	58 (47.2)
Treatment ongoing, n (%)	1 (2.9)	1 (5.0)	5 (45.5)	1 (5.0)	12 (66.7)	5 (25.0)	24 (25.0)	25 (20.3)
Discontinued treatment, n (%)	33 (97.1)	19 (95.0)	6 (54.5)	19 (95.0)	6 (33.3)	15 (75.0)	72 (75.0)	98 (79.7)
Reason for discontinuation, n (%)								
Progressive disease	18 (52.9)	10 (50.0)	2 (18.2)	13 (65.0)	1 (5.6)	8 (40.0)	35 (36.5)	52 (42.3)
Adverse event	9 (26.5)	5 (25.0)	3 (27.3)	4 (20.0)	2 (11.1)	5 (25.0)	23 (24.0)	28 (22.8)
Withdrawal by subject	2 (5.9)	1 (5.0)	1 (9.1)	1 (5.0)	2 (11.1)	1 (5.0)	6 (6.3)	8 (6.5)
Other§	1 (2.9)	3 (15.0)	0	1 (5.0)	1 (5.6)	0	5 (5.2)	6 (4.9)
Physician decision	2 (5.9)	0	0	0	0	0	1 (1.0)	2 (1.6)
Missing	1 (2.9)	1 (5.0)	0	0	0	1 (5.0)	2 (2.1)	2 (1.6)

*Includes patients treated with 10 mg/kg in the dose-escalation phase and all patients treated in the dose-expansion phase
†Patients who receive ≥ 1 dose of XmAb20717
‡Patients who receive ≥ 4 doses of XmAb20717 and have ≥ 1 post-baseline RECIST assessment (demonstrator in the Safety Population)
§Death due to disease progression (n = 3); start other anticancer therapy (n = 2); put on hospice care (n = 1)

Patients were considered evaluable for clinical activity if they had received ≥ 4 doses of XmAb20717 and had ≥ 1 follow-up RECIST assessment. Evaluation of efficacy is pending in 12 of 24 patients who were continuing treatment at the 10 mg/kg dose (8 CRPC, 3 RCC, and 1 basket cohort) because they had not met either or both of these criteria as of the cut-off date.

Demographics and Baseline Characteristics

	Escalation (n = 34)	10 mg/kg (n = 96)	Overall (n = 123)
Age, median (range)	56.5 (32-81)	65.5 (40-85)	63 (32-85)
Male, %	58.8	63.5	64.2
ECOG 1, %	67.6	62.5	64.2
Months since initial diagnosis, median (range)	42.3 (3-313)	50.2 (6-511)	46.2 (3-511)
Lines of prior systemic therapy, median (range)	4 (0-9)	4 (0-11)	4 (0-11)
Prior treatment with checkpoint inhibitor regimen, %	76.5	56.3	61.0
≥ 2 prior checkpoint inhibitor regimens, %	23.5	24.0	24.4

Data on response to most recent prior ICI therapy were available for 33* of 54 patients treated with 10 mg/kg XmAb20717 for whom prior ICI treatment was reported. Best overall response to last prior ICI was partial response (PR) for 6.1%, stable disease (SD) for 24.2%, and progressive disease (PD) for 69.7% of patients.

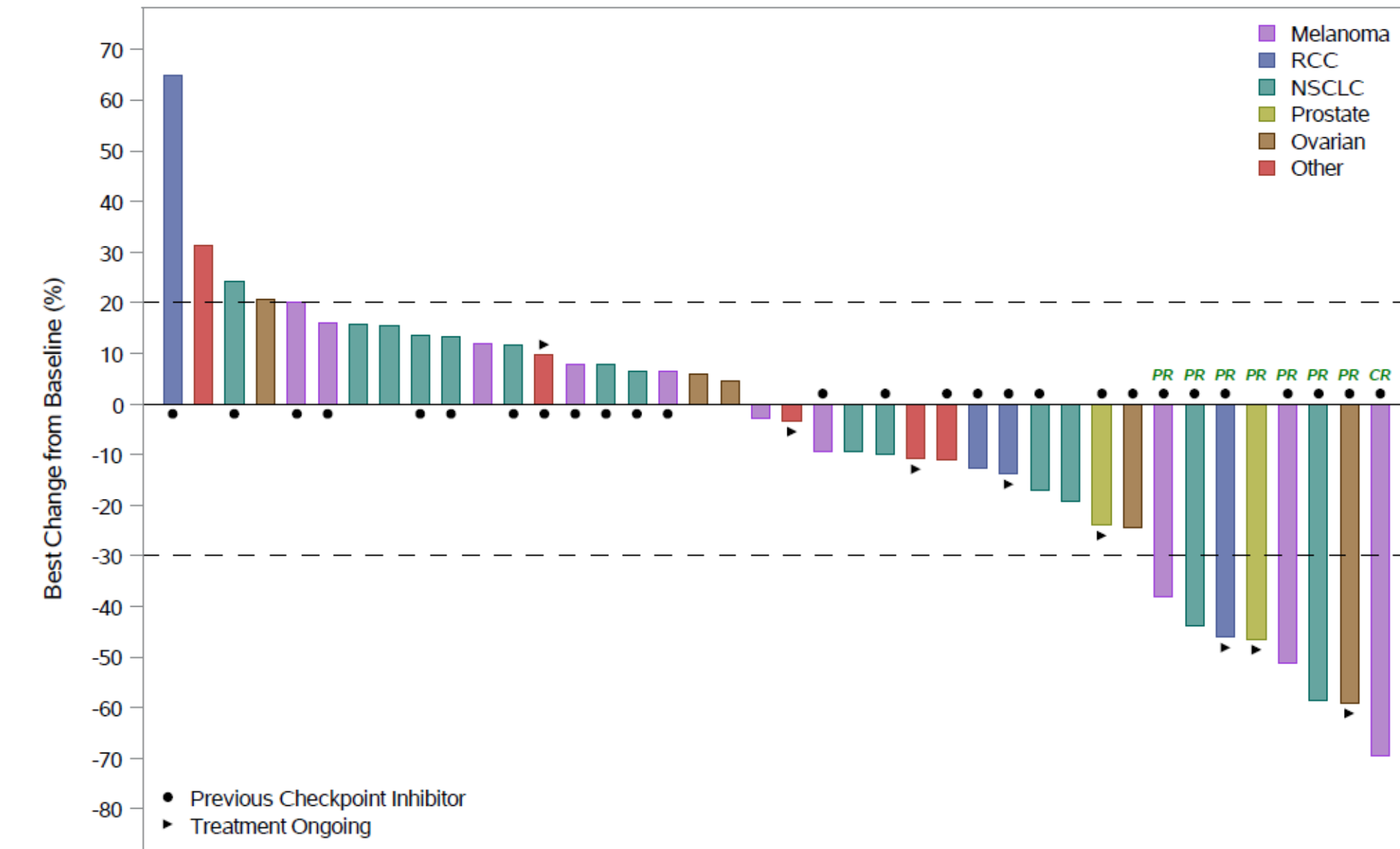
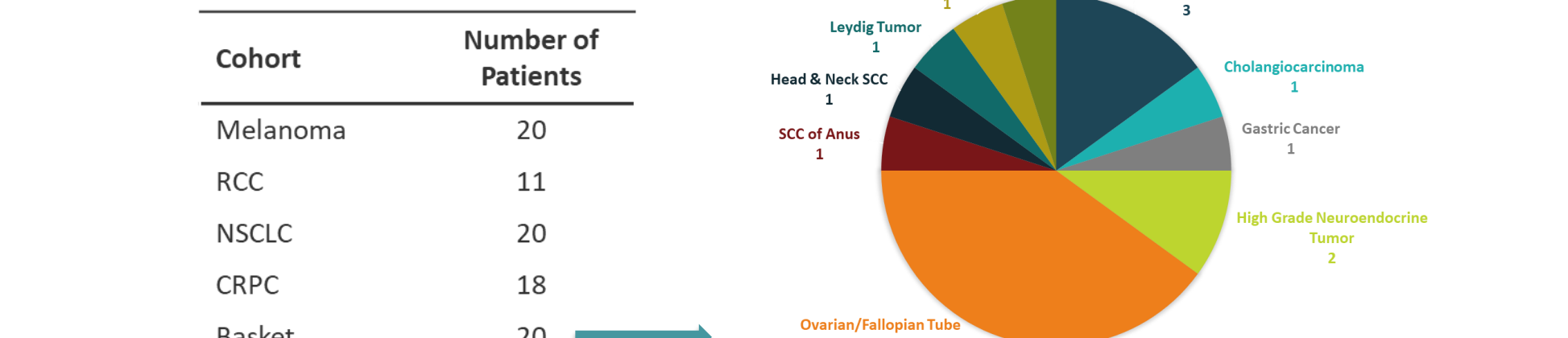
*Including 11/18 patients with melanoma, 12/15 with NSCLC, 5/8 with RCC, 2/3 with cervical cancer, 1/3 with ovarian cancer, 1/1 with TNBC, and 1/1 with head and neck SCC.

Primary Tumor Types in Dose Escalation

Cohort	Number of Patients
Melanoma	7
Head & neck SCC	7
Gastric cancer	5
RCC	4
TNBC	4
NSCLC	3
Cervical cancer	1
CRPC (MSI-H/MMRD)	1
HCC	1
Urothelial carcinoma	1

- 34 patients were treated at 5 dose levels (0.15 to 10.0 mg/kg) in dose escalation
 - An MTD was not defined
 - Enrollment is continuing in dose escalation, currently at the 15 mg/kg dose level (data not reported)
- A dose of 10 mg/kg was identified as the recommended dose for expansion, based on
 - An observation of consistent proliferation of both CD8+ and CD4+ T cells, indicative of dual checkpoint blockade
 - A complete response (CR) in 1 patient treated in the dose-escalation phase
- 89 patients have been treated in parallel cohorts in the expansion phase as of the cut-off date

Primary Tumor Types in Dose Expansion



Best percent change from baseline in sum of diameters for evaluable patients treated with 10 mg/kg. *Other* includes cervical cancer, cholangiocarcinoma, gastric cancer, and high-grade neuroendocrine tumor.

Best Overall Response (RECIST 1.1) – Evaluable Patients

	< 10 mg/kg (n = 16)	10 mg/kg (n = 42)
Best overall response, n (%)		
CR	0	1 (2.4)†
PR	0	7 (16.7)†
SD	12 (75.0)	20 (47.6)
PD	4 (25.0)	14 (33.3)
Objective response rate	NA	19.0%
Duration of response (days), median (95% CI)	NA	119.0+ (59.0, 128.0)
Disease control rate	75.0%	66.7%

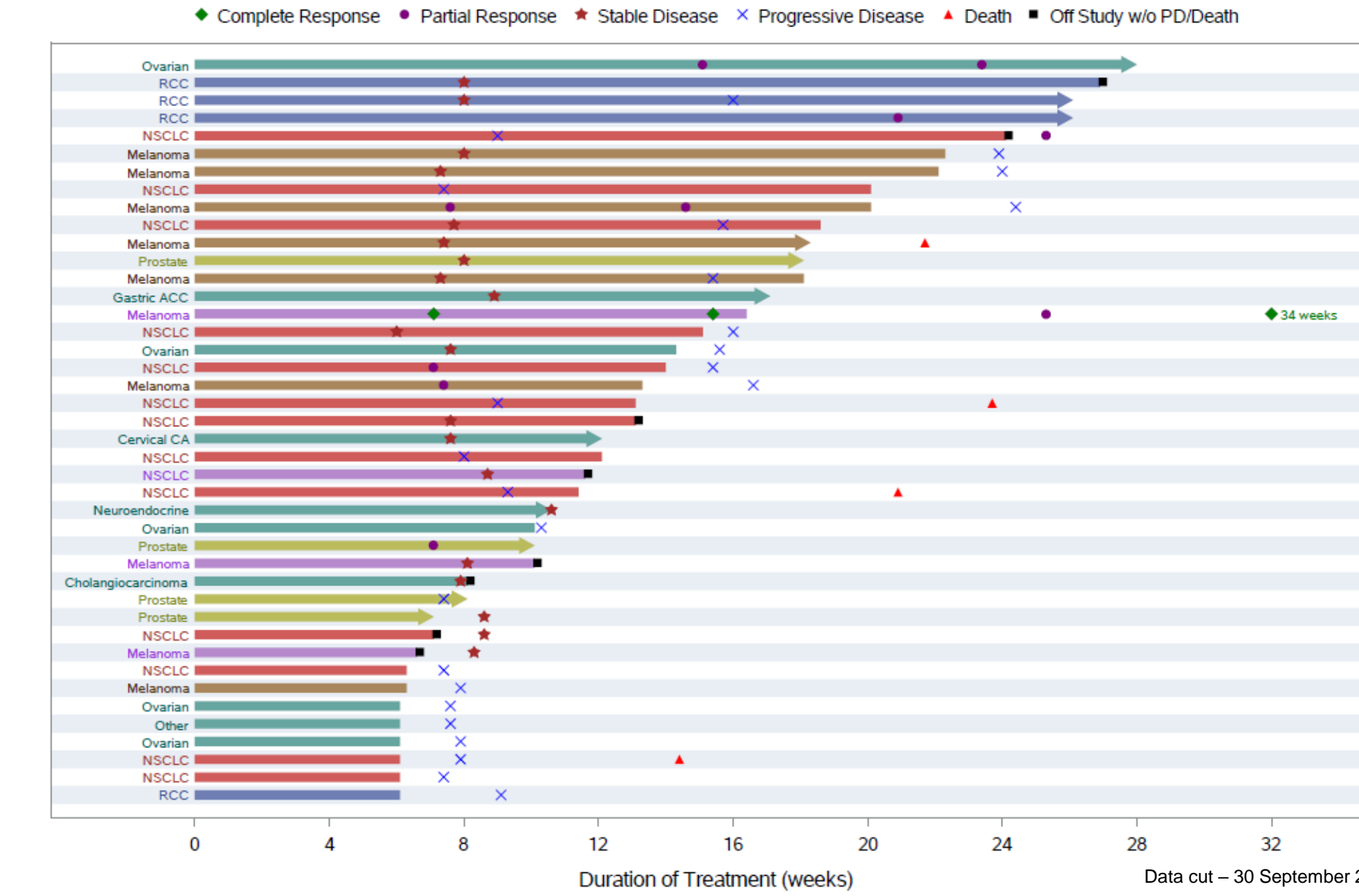
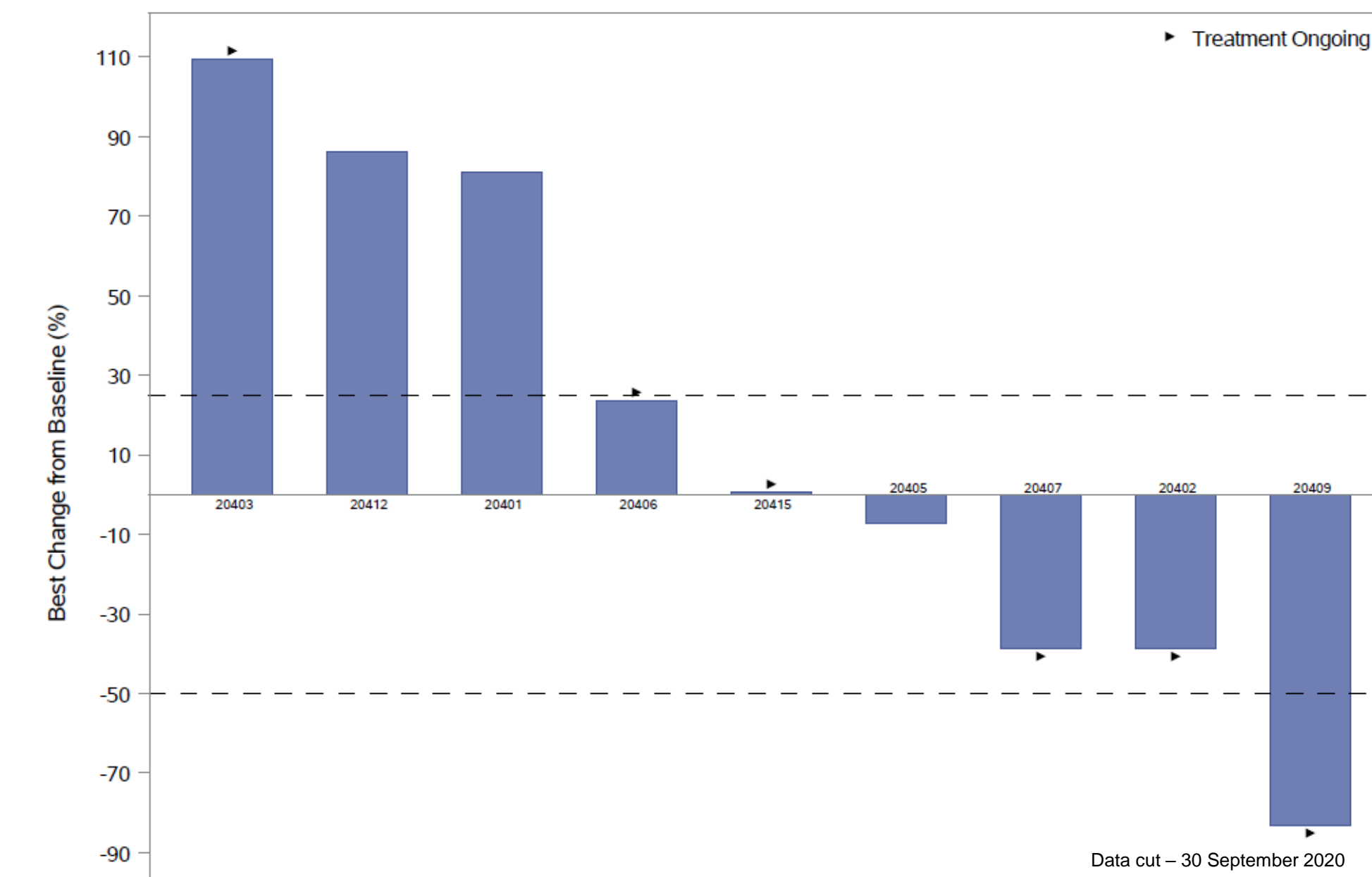
†CR – confirmed; PR – 2 confirmed
Data cut – 30 September 2020

At doses below 10 mg/kg, the best overall response was SD. At 10 mg/kg, complete and partial responses were observed in multiple tumor types, including melanoma (3 of 10 patients), RCC (1 of 4), NSCLC (2 of 14), CRPC (1 of 4), and ovarian cancer (1 of 5).

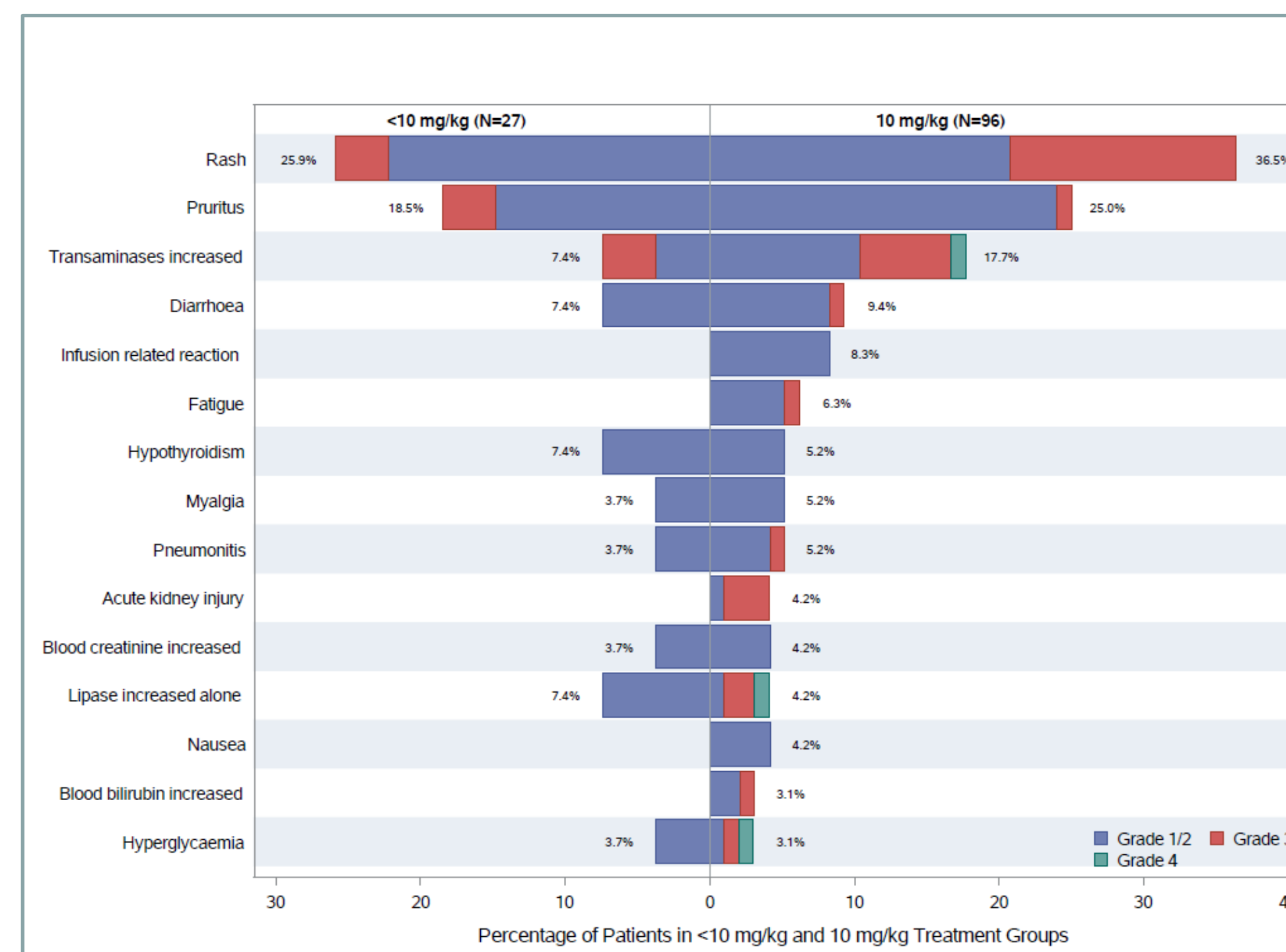
Characteristics of XmAb20717 Responders

Patient	Tumor Type	Baseline Tumor Characteristics		Prior Immune Checkpoint Inhibitor Experience			XmAb20717 Response Characteristics			
		PD-L1 (% TPS)	TMB (Mut/Mb)	Time on Treatment (weeks)	Treatment Setting	Best Overall Response	Time From Prior ICI to Start of XmAb20717 (weeks)	Best Overall Response	Time to Response (weeks)	
138-10606	Melanoma	UA	UA	Pembrolizumab	19.4	Metastatic	PD	4.1	CR (C)	7.1
138-20102	Melanoma	UA	UA	Ipilimumab + Pembrolizumab	12.6	Neo-adjunct	PD	45.6	PR (UC)	7.4
136-20119	Melanoma	0	0.8	Ipilimumab	6.1	Adjuvant	UK	111.1	PR (C)	7.6
139-20303	NSCLC	2	1	Nivolumab	28.3	Adjuvant	UK	181.7	PR (UC)	25.3
136-20318	NSCLC	40	UA	Nivolumab	20.4	Metastatic	PD	153.7	PR (UC)	7.1
136-20501	Ovarian	5	9.4	Pembrolizumab	47.9	Metastatic	SD	12.0	NA	NA
136-20501	Ovarian	5	9.4	Nivolumab	2.1	Metastatic	UK	35.9	PR (C)	15.1
136-20207	RCC	0	6.3	Ipilimumab + Nivolumab	9.1	Metastatic	PD	42.9	PR (UC)	20.9
115-20407	CRPC	UA	UA	None	NA	NA	NA	NA	PR (UC)	7.1

C = confirmed; UC = unconfirmed; UK = unknown; NA = not applicable; UA = unavailable



Time on treatment and best overall response for evaluable patients treated with 10 mg/kg. Right arrow indicates treatment is ongoing.



Immune-related adverse events reported for ≥ 3 patients at the 10 mg/kg dose relative to doses < 10 mg/kg by maximum CTCAE grade. Rash includes preferred terms: rash maculo-papular, rash, rash macular, rash pruritic, rash pustular. Transaminases increased includes preferred terms: alanine aminotransferase increased, aspartate aminotransferase increased, liver function test increased, transaminases increased.

XmAb20717 was generally well-tolerated. The most common treatment-related adverse events tended to be immune-related adverse events (irAEs).

With the exceptions of rash and transaminases increased, other Grade 3 or higher irAEs were reported for ≤ 3 of 123 patients.

Neurological irAEs were reported for 2 patients and were restricted to Grade 1. Immune-related colitis was reported for 3 patients (2 Grade 3). Pneumonitis was reported for 6 patients (1 Grade 3). Immune-mediated pancreatitis (Grade 5) was reported for a single patient with RCC who had pancreatic metastases at baseline that had progressed on study. Grade 5 myocarditis and respiratory failure were reported for a patient with NSCLC who had a history of atrial fibrillation and a pacemaker. With the exception of 1 event of pneumonitis (Grade 2), all of these events were reported in patients who received the 10 mg/kg dose.

CONCLUSIONS

- Evaluation of efficacy and safety are continuing in this ongoing study of patients with advanced solid tumors. Based on these preliminary data, XmAb20717
 - Demonstrated clinical activity in multiple tumor types, including ≥ 10% tumor shrinkage from baseline in roughly half of evaluable patients and complete and partial responses, nearly all of which occurred in patients who had been exposed to prior ICI therapy
 - Has been generally well tolerated at doses as high as 10 mg/kg, without exceeding the MTD
 - With the exceptions of rash and transaminases increased, other Grade 3/4 irAEs were reported for ≤ 3 of 123 patients
 - Exhibited dose proportional PK, with a half-life of 7 days, and a potential association between C_{max}/AUC and clinical response
 - Induced robust, dose-dependent T-cell proliferation and activation in peripheral blood consistent with dual-checkpoint blockade, peaking at Cycle 2, Day 1
 - Showed expected intratumoral pharmacodynamic activity in available paired biopsies, with increases in T-cell infiltration and activation gene signatures
- These encouraging preliminary results will inform further clinical development of XmAb20717 in specific oncology indications.