## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL 2225 0207

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l	hours per response:	0.5
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L		3233-0201

1. Name and Address of Reporting Person <sup>*</sup> Baracchini Edgardo Jr			2. Issuer Name <b>and</b> Ticker or Trading Symbol Xencor Inc XNCR	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Baracchini E	<u>ugardo Jr</u>				Director	10% Owner			
				Х	Officer (give title below)	Other (specify below)			
(Last) (First) (Middle) C/O XENCOR, INC.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/02/2018		Chief Busines	· · · · /			
111 WEST LEMON AVENUE									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)				X	Form filed by One Re	porting Person			
MONROVIA	CA	91016			Form filed by More th				
P					Person	an one Reporting			
(City)	(State)	(Zip)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/02/2018		М		10,000	A	\$0.59	10,000	D	
Common Stock	03/02/2018		<b>S</b> <sup>(1)</sup>		10,000	D	\$29.5966 <sup>(2)</sup>	0	D	
Common Stock	03/05/2018		М		10,000	A	\$0.59	10,000	D	
Common Stock	03/05/2018		<b>S</b> <sup>(1)</sup>		10,000	D	\$29.584 <sup>(3)</sup>	0	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		i of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$0.59	03/02/2018		М			10,000	(4)	01/17/2020	Common Stock	10,000	\$0.00	91,809	D	
Stock Option (right to buy)	\$0.59	03/05/2018		М			10,000	(4)	01/17/2020	Common Stock	10,000	\$0.00	81,809	D	

### Explanation of Responses:

1. Reported transaction occured pursuant to a Rule 10b5-1 Plan adopted September 14, 2016.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$29.20 to \$29.75, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$29.50 to \$29.825, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above

4. The stock option is fully vested and exercisable.

**Remarks:** 

#### /s/ Edgardo Baracchini, Jr.

\*\* Signature of Reporting Person

03/05/2018 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.