SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addre Foster Paul A	ss of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol Xencor Inc [XNCR]		ionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner		
	Last) (First) (Middle) C/O XENCOR, INC.		3. Date of Earliest Transaction (Month/Day/Year) 08/14/2018	Х	Officer (give title below) Chief Medical O	Other (specify below) fficer	
(Street) MONROVIA (City)	CA (State)	91016 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line) X	dual or Joint/Group Filing (Form filed by One Report Form filed by More than (Person	ting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	08/14/2018		М		15,496	A	\$11.05	20,448 ⁽¹⁾	D			
Common Stock	08/14/2018		S		15,496	D	\$41.1061(2)	4,952	D			
Common Stock	08/15/2018		М		106	A	\$11.05	5,058	D			
Common Stock	08/15/2018		S		106	D	\$41.1	4,952	D			
Common Stock	08/16/2018		М		20,739	A	\$11.05	25,691	D			
Common Stock	08/16/2018		S		20,739	D	\$41.1	4,952	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	umber vative urities uired or oosed 0) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$11.05	08/14/2018		М			15,496	(3)	02/20/2024	Common Stock	15,496	\$0.00	74,504	D	
Stock Option (right to buy)	\$11.05	08/15/2018		М			106	(3)	02/20/2024	Common Stock	106	\$0.00	74,398	D	
Stock Option (right to buy)	\$11.05	08/16/2018		М			20,739	(3)	02/20/2024	Common Stock	20,739	\$0.00	53,659	D	

Explanation of Responses:

1. Includes the following shares acquired by the Reporting Person pursuant to the Issuer's Employee Stock Purchase Plan: 1,207 shares of Common Stock acquired on June 10, 2015, 1,212 shares of Common Stock acquired on December 10, 2015 and 114 shares of Common Stock acquired on June 9, 2018.

2. The weighted average sale price for the transaction reported was \$41.1061, and the range of prices were between \$41.10 and \$41.120. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information regarding the number of shares purchased or sold at each separate price will be provided.

3. The stock option is fully vested.

Remarks:

/s/ John J. Kuch, Attorney-in-

Fact

08/16/2018

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.