SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject	
to Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*						2. Issuer Name and Ticker or Trading Symbol Xencor Inc [XNCR]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Yang A	llen	3. Date of Earliest Transaction (Month/Day/Year) 12/19/2022								Direct			Owner							
(Last) C/O XEI	(F NCOR, INC									Officer (give title Other (below) below) SR. VICE PRESIDENT & CM			'							
(Street) MONROVIA CA 91016						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person							
MONRC	OVIA C.										,	e than One R								
(City)	(S	tate)	(Zip)										Perso			sporting				
		Tab	le I - No	on-Deriva	tive \$	Secur	ities Acc	quired	l, Dis	sposed of,	or Be	neficial	y Owne	d						
Dat				Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		iction Instr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			Securit Benefic Owned	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
					Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 			(Instr. 4)							
Common Stock 12/19/					2022			S		3,737 ⁽¹⁾	D	\$27.003	63,	63,521 ⁽²⁾ I						
		-	able II							osed of, c convertibl			Owned							

Derivative	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	1				

Explanation of Responses:

1. Represents the disposition of shares that were sold to pay withholding taxes upon vesting of 10,634 restricted stock units.

2. Includes the following shares acquired by the reporting person pursuant to the Issuer's Employee Stock Purchase Plan: 428 shares acquired in June 2022 and 301 shares acquired in December 2022.

<u>/s/ Celia E. Eckert, Attorneyin-Fact</u>

<u>12/21/2022</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.